

THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY.'S DOCKET: SUZUKI=25A

| | | |
|-------------------------------|---|-----------------------|
| In re Application of: |) | Art Unit: 1762 |
| |) | |
| Nobyuasuz SUZUKI et al |) | Examiner: |
| |) | |
| Appln. No.: 10/657,248 |) | Washington, D.C. |
| |) | |
| Date Filed: September 9, 2003 |) | Confirmation No. 4043 |
| |) | |
| For: METHOD AND APPARATUS FOR |) | January 2, 2004 |
| FABRICATING QUANTUM DOT... |) | |

2nd SUPPLEMENTAL ADS

Honorable Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants have noted an error in the Supplemental Application Data Sheet (ADS) filed December 12, 2003, with regard to the first assignee's name and address. Attached hereto is a corrected ADS identifying the two (2) assignees as follows:

(1) Matsushita **Electric** Industrial Co., Ltd.

1006, Oaza Kadoma, Kadoma-shi, Osaka 571-8501, Japan;

(2) Japan as Represented by Director General of National Institute of Advanced Industrial Science and Technology, Ministry of Economy, Trade and Industry

1-3-1, Kasumigaseki, Chiyoda-ku, Tokyo, Japan.

In re of Appln. No. 10/657,248

Applicant further requests that the **second** assignee's full title, as indicated in applicants' cover letter filed with the application on September 9, 2003, appear on any publication of the present application.

Applicants respectfully request entry and correction of the PTO records.

Respectfully submitted,

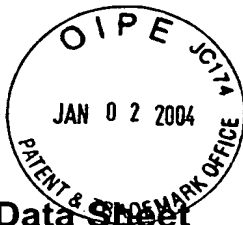
BROWDY AND NEIMARK, P.L.L.C.
Attorneys for Applicants

By

A handwritten signature in black ink, appearing to read 'S. Neimark', written over a horizontal line.

Sheridan Neimark
Registration No. 20,520

SN:jaa
Telephone No.: (202) 628-5197
Facsimile No.: (202) 737-3528
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Application Data Sheet

Application Information

| | |
|----------------------------------|---|
| Application Type:: | Regular |
| Subject Matter:: | Utility |
| Suggested Classification:: | |
| Suggested Group Art Unit:: | |
| CD-ROM or CD-R?:: | None. |
| Number of CD Disks:: | |
| Number of Copies of CDs:: | |
| Sequence Submission?:: | |
| Computer Readable Form (CRF)?:: | |
| Number of copies of CRF:: | |
| Title:: | METHOD AND APPARATUS FOR FABRICATING QUANTUM DOT FUNCTIONAL STRUCTURE, QUANTUM DOT FUNCTIONAL STRUCTURE, AND OPTICALLY FUNCTIONING DEVICE |
| Attorney Docket Number:: | SUZUKI =25A |
| Request for Early Publication?:: | No |
| Request for Non-Publication?:: | No |
| Suggested Drawing Figure:: | |
| Total Drawing Sheets:: | 13 |
| Small Entity?:: | No |
| Latin Name:: | |
| Variety Denomination Name:: | |
| Petition Included:: | No |
| Petition Type:: | |
| Licensed US Govt. Agency:: | |
| Contract or Grant Numbers:: | |
| Secrecy Order in Parent Appl.?:: | No |
| Applicant Information | |
| Applicant Authority Type:: | Inventor |

| | |
|---|-------------------------------|
| Primary Citizenship Country:: | Japan |
| Status:: | Full Capacity |
| Given Name:: | Nobuyasu |
| Middle Name:: | |
| Family Name:: | SUZUKI |
| Name Suffix:: | |
| City of Residence:: | Kawasaki-shi, Kanagawa-ken |
| State or Province of Residence:: | |
| Country of Residence:: | Japan |
| Street of Mailing Address:: | 1-16-1-102 Kurikidai, Asao-ku |
| City of Mailing Address:: | Kawasaki-shi, Kanagawa-ken |
| State or Province of Mailing Address:: | |
| Country of Mailing Address:: | Japan |
| Postal or Zip Code of Mailing Address:: | |
| Applicant Authority Type:: | Inventor |
| Primary Citizenship Country:: | Japan |
| Status:: | Full Capacity |
| Given Name:: | Toshiharu |
| Middle Name:: | |
| Family Name:: | MAKINO |
| Name Suffix:: | |
| City of Residence:: | Kawasaki-shi, Kanagawa-ken |
| State or Province of Residence:: | |
| Country of Residence:: | Japan |
| Street of Mailing Address:: | 1-16-1-206 Kurikidai, Asao-ku |
| City of Mailing Address:: | Kawasaki-shi, Kanagawa-ken |
| State or Province of Mailing Address:: | |
| Country of Mailing Address:: | Japan |
| Postal or Zip Code of Mailing Address:: | |
| Applicant Authority Type:: | Inventor |
| Primary Citizenship Country:: | Japan |
| Status:: | Full Capacity |
| Given Name:: | Yuka |

Middle Name::
Family Name:: YAMADA
Name Suffix::
City of Residence:: Kawasaki-shi, Kanagawa-ken
State or Province of Residence::
Country of Residence:: Japan
Street of Mailing Address:: 2-2-10-206, Gorikida, Asao-ku
City of Mailing Address:: Kawasaki-shi, Kanagawa-ken
State or Province of Mailing Address::
Country of Mailing Address:: Japan
Postal or Zip Code of Mailing Address::
Applicant Authority Type:: Inventor
Primary Citizenship Country:: Japan
Status:: Full Capacity
Given Name:: Takehito
Middle Name::
Family Name:: YOSHIDA
Name Suffix::
City of Residence:: Kawasaki-shi, Kanagawa-ken
State or Province of Residence::
Country of Residence:: Japan
Street of Mailing Address:: 4-1-1-605, Takaishi, Asao-ku
City of Mailing Address:: Kawasaki-shi, Kanagawa-ken
State or Province of Mailing Address::
Country of Mailing Address:: Japan
Postal or Zip Code of Mailing Address::
Applicant Authority Type:: Inventor
Primary Citizenship Country:: Japan
Status:: Full Capacity
Given Name:: Takafumi
Middle Name::
Family Name:: SETO
Name Suffix::

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|---|--------------------------|
| City of Residence:: | Tsukuba-shi, Ibaragi-ken |
| State or Province of Residence:: | |
| Country of Residence:: | Japan |
| Street of Mailing Address:: | 2-807-706, Azuma |
| City of Mailing Address:: | Tsukuba-shi, Ibaragi-ken |
| State or Province of Mailing Address:: | |
| Country of Mailing Address:: | Japan |
| Postal or Zip Code of Mailing Address:: | |
| Applicant Authority Type:: | Inventor |
| Primary Citizenship Country:: | Japan |
| Status:: | Full Capacity |
| Given Name:: | Nobuhiro |
| Middle Name:: | |
| Family Name:: | AYA |
| Name Suffix:: | |
| City of Residence:: | Tsukuba-shi, Ibaragi-ken |
| State or Province of Residence:: | |
| Country of Residence:: | Japan |
| Street of Mailing Address:: | 2-306-204, Namiki |
| City of Mailing Address:: | Tsukuba-shi, Ibaragi-ken |
| State or Province of Mailing Address:: | |
| Country of Mailing Address:: | Japan |
| Postal or Zip Code of Mailing Address:: | |
| Correspondence Information | |
| Correspondence Customer Number:: | 001444 |
| Representative Information | |
| Representative Customer Number:: | 001444 |
| Domestic Priority Information | |
| Application:: | Continuity Type:: |
| | Parent |
| | Parent Filing |
| | Application:: |
| | Date:: |
| This Application | Division of |
| | 09/784,300 |
| | 02-16-01 |

Foreign Priority Information

| | | | |
|-----------|----------------------|---------------|--------------------|
| Country:: | Application Number:: | Filing Date:: | Priority Claimed:: |
| Japan | 2000-091902 | 03-29-00 | Yes |
| Japan | 2000-197367 | 06-29-00 | Yes |

Assignment Information

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|---|--|
| Assignee Name:: | Matsushita Electric Industrial Co., Ltd. |
| Street of Mailing Address:: | 1006, Oaza Kadoma, Kadoma-shi |
| City of Mailing Address:: | Osaka |
| State or Province of Mailing Address:: | |
| Country of Mailing Address:: | Japan |
| Postal or Zip Code of Mailing Address:: | 571-8501 |
| Assignee Name:: | Japan as Rep by Dir Gen of Nat'l Inst of Advanced |
| Street of Mailing Address:: | 1-3-1, Kasumigaseki, Chiyoda-ku |
| City of Mailing Address:: | Tokyo |
| State or Province of Mailing Address:: | |
| Country of Mailing Address:: | Japan |
| Postal or Zip Code of Mailing Address:: | |